

AUDIT COMMITTEE

24 JANUARY 2019

REPORT OF DEPUTY CHIEF EXECUTIVE

A.3 AUDIT COMMITTEE – TABLE OF OUTSTANDING ISSUES

(Report prepared by Richard Barrett)

PART 1 – KEY INFORMATION

PURPOSE OF THE REPORT

To present to the Committee the progress on outstanding actions identified by the Committee.

EXECUTIVE SUMMARY

- A Table of Outstanding Issues is maintained and reported to each meeting of the Committee. This approach enables the Committee to effectively monitor progress on issues and items that form part of its governance responsibilities.
- **Appendix A** sets out actions previously identified by the Committee along with the latest position / update.
- **Appendix B** sets out updates against the Annual Governance Statement that the Committee agreed at its 26 July 2018 meeting.
- **Appendix C** sets out the Council's response to a property risk review requested by the Audit Committee and undertaken by the Council's insurers.
- To date there are no significant issues arising from any of the above, with work remaining in progress or updates provided elsewhere on the agenda where appropriate.

RECOMMENDATION(S)

That the Committee notes the progress on the outstanding issues.

PART 2 – IMPLICATIONS OF THE DECISION

DELIVERING PRIORITIES

The existence of sound governance, internal control and financial management practices and procedures are essential to the delivery of Corporate priorities supported by effective management and forward planning within this overall framework.

FINANCE, OTHER RESOURCES AND RISK

Finance and other resources

There are no significant financial implications associated with monitoring of the agreed actions or responses. If additional resources are required then appropriate steps will be taken including any necessary reporting requirements.

Risk

The Table of Outstanding Issues is in itself a response to potential risk exposure with further activity highlighted to address matters raised by the Audit Committee.

LEGAL

There are no direct legal implications associated with this report.

OTHER IMPLICATIONS

Consideration has been given to the implications of the proposed decision in respect of the following and any significant issues are set out below.

Crime and Disorder / Equality and Diversity / Health Inequalities / Area or Ward affected / Consultation/Public Engagement.

This report does not have a direct impact although such issues could feature in future recommendations and actions. Any actions that may have an impact will be considered and appropriate steps taken to address any issues that may arise.

PART 3 – SUPPORTING INFORMATION

TABLE OF OUTSTANDING ISSUES

The Table of Outstanding Issues has been reviewed and updated since it was last considered by the Committee at its 27 September 2018 meeting.

An updated Table of Outstanding Issues is set out in **Appendix A**. One of the outstanding actions relates to the outcome from a recent property risk review with **Appendix C** setting out the associated recommendations and observations along with the action / response by the Council.

An update on actions relating to the Annual Governance Statement is set out separately in **Appendix B**.

Update On Issues Raised

Any actions identified by the Committee at its last meeting have now been included where appropriate.

Updates on items either appear as separate items elsewhere on the agenda or set out within the Appendix, with work scheduled or remaining in progress on all outstanding items.

BACKGROUND PAPERS FOR THE DECISION

None

APPENDICES

Appendix A – Table of Outstanding Issues (January 2019) – General.

Appendix B - Table of Outstanding Issues (January 2019) - Annual Governance Statement Actions

Appendix C – Property Risk Review Outcomes